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PTO/SB/31 (09-06)

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**NOTICE OF APPEAL FROM THE EXAMINER TO  
THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Docket Number (Optional)

FRK-086

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]

on \_\_\_\_\_

Signature \_\_\_\_\_

Typed or printed  
name \_\_\_\_\_

In re Application of

Mosing

Application Number

10 / 027,502

Filed

27 November 2001

For Slip Groove Gripping Die

Art Unit

3679

Examiner

Stodola, Daniel P.

Applicant hereby **appeals** to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))

\$ 500.00

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:

\$ \_\_\_\_\_

☒ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 13-2166. I have enclosed a duplicate copy of this sheet.

☐ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

☐ applicant/inventor.

☐ assignee of record of the entire interest.

See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.  
(Form PTO/SB/96)

☒ attorney or agent of record.  
Registration \_\_\_\_\_

22,719

☐ attorney or agent acting under 37 CFR 1.34.  
Registration number if acting under 37 CFR 1.34. \_\_\_\_\_

Signature

William E. Johnson, Jr.

Typed or printed name

713 - 355 - 4200

Telephone number

12/15/06

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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PRACTITIONER'S DOCKET No.: FRK-086

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: MOSING, DONALD E.	§	
	§	GROUP No.: 3679
APPLICATION No.: 10/027,502	§	
	§	EXAMINER: DANIEL P. STODOLA
FILED: NOVEMBER 27, 2001	§	
	§	
	§	
FOR: SLIP GROOVE GRIPPING DIE	§	

**NOTICE OF APPEAL UNDER 37 C.F.R. 41.31 (a)(1)**

**Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450**

Dear Sir:

Applicant hereby appeals the decision dated September 15, 2006, of the Examiner involving the Final Rejection of Claims 1-10, 12-16, 19 and 20, for the above designated application, to the Board of Patent Appeals and Interferences. Applicant has attached herewith the Notice of Appeal (PTO/SB/31), and the fee set forth in 37 C.F.R. 41.20 (b)(1).

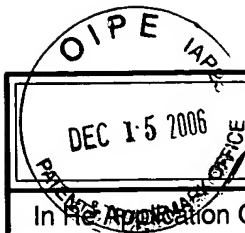
Although Applicant believes that no additional fees are required, the Commissioner is hereby respectfully authorized to deduct such fees, as might be required, from Deposit Account Number 13-2166.

12/15/06  
Date

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'W. E. Johnson, Jr.', with a large, stylized flourish at the end.

William E. Johnson, Jr.  
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THE MATTHEWS FIRM (Customer No. 021897)  
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US  
713-355-4200 Telephone  
713-355-9689 Facsimile



**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
**FRK-086**

In Re Application Of:

**Mosing**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10 / 027,502	27 Nov 2001	Stodola, Daniel P.	021897	3679	1988

Title:

*Slip Groove Gripping Die*

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Return Post Card;**  
**Check No. 21169 , in the amount of \$500.00 (notice of appeal);**  
**Certificate of Express Mailing (EV 516729952 US);**  
**Notice of Appeal (PTO /SB /31); and**  
**Notice of Appeal Under 37 CFR 41.31(a)(1)**

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$500.00 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 13-2166 as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Signature

William E. Johnson, Jr.  
Reg. No. 22,719  
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713-355-4200 Telephone  
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Dated: 12/15/06

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(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Mosing**

Docket No.

**FRK-086**

Application No.

**10 / 027,502**

Filing Date

**27 November 2001**

Examiner

**Stodola, Daniel P.**

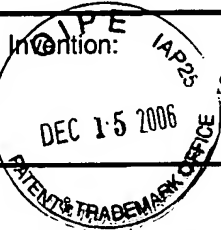
Customer No.

**021897**

Group Art Unit

**3679**

Invention:

**Slip Groove Gripping Die**

I hereby certify that the following correspondence:

**Transmittal Letter (General - Patent Pending), and all documents referenced therein***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**15 December 2006***(Date)***Matt Robinson***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV 516729952 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**